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Dated: January 30, 2004

Signature: 

(Robert B. Cohen)

Docket No.: SONYJP 3.0-190 <sup>2</sup>-5-04  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Takumi Okaue

Application No.: 09/911,042

Group Art Unit: 2131

Filed: July 23, 2001

Examiner: Not Yet Assigned

For: DATA PROCESSING SYSTEM, DATA  
PROCESSING METHOD, DATA  
PROCESSING APPARATUS, LICENSE  
SYSTEM, AND PROGRAM PROVIDING  
MEDIUM

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Technology Center 2100

CERTIFICATION PURSUANT TO 37 C.F.R. § 1.97(E)(1)

Dear Sir:

Pursuant to 37 C.F.R. § 1.97(e) (1), undersigned counsel hereby certifies that each item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application to the above-referenced patent application not more than three months prior to the filing of said statement.

Dated: January 30, 2004

Respectfully submitted,

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TC 2100

By 

Robert B. Cohen

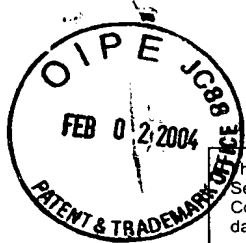
Registration No.: 32,768

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**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. The listed references were cited in a search report issued by the Austrian Patent Office in connection with applicant's corresponding Singapore application. A copy of each reference and a copy of the search report are enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

Application No.: 09/911,042

Docket No.: SONYJP 3.0-190

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: January 30, 2004

Respectfully submitted,

By 

Robert B. Cohen

Registration No.: 32,768

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<b>Substitute for form 1449A/B/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)			<b>Complete if Known</b>		
			Application Number	09/911,042	
			Filing Date	July 23, 2001	
			First Named Inventor	Takumi Okaue	
			Art Unit	2131	
			Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	SONYJP 3.0-190

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA	US-5,396,614	03-07-1995	Khalidi et al.	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	†*
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)					
	BA	EP-0 107 448-A2		05-02-1984	Honeywell Information Systems Inc.		✓
	BB	JP-07-230412-A1		08-29-1995	Hitachi Ltd, Hitachi Software Eng Co Ltd		**

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

\*\* With English abstract only.

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